Thank-you for your interest in the Naval War College Museum.

All persons 18 years of age and older are required to be vetted and cleared prior to receiving museum visitor passes (including bus drivers for groups).

Each individual, 18 years and older, must fill out and sign his or her own SECNAV 5512 form for the Pass & ID Office, either legibly in ink or digitally and then initial/sign the form in ink (Blocks 29 -32). If forms are not legible, information is missing and/or not signed in ink, the forms will be not be accepted by the Pass & ID Office.

# **Vetting (Only 18 Years and Older)**

Each visitor has the option to go through the following:

Spot-Vetting – Within 48 hours of the intended date of visit, send an email to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a> with the following: first name, middle name and last name of each visitor and intended date of visit. The sponsor will forward names to the Pass & ID office once all information is received.

If there are names of other visitors in the group that are not forwarded to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a> prior to arrival, this will cause a delay in the spot-vetting process. Other factors that delay and/or prevent timely spot-vetting are long wait times due to long lines and/or presenting incorrect forms of identification (please read the information under "Forms of Identification" on the last page). The actual background check takes approximately 10 – 15 minutes after giving the front desk the completed SECNAV Form 5512.

To reduce time in the Pass & ID office, visitors requesting spot-vetting are welcome to complete the form in advance and have ready upon arrival.

Advanced Vetting – When providing notice more than 48 hours in advance, follow the directions on page two for completing SECNAV Form 5512 (including password-protection steps) and email the completed form to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a>. The assigned sponsor will forward the correct and legible forms to the Pass & ID Office; incorrect forms will be returned to requestor for corrections. The advanced vetting process may take up to five business days after the Pass & ID Office receives the forms, please have all forms correct and complete. Once the museum is notified that visitors are cleared to visit the museum, visitors will receive an email confirmation from museum staff.

Once cleared through either spot-vetting or advanced vetting, clearance is good for 179 days but each visitor will need to obtain a new museum visitor pass for each subsequent visit within the 179-day period. The pass only permits visits to the Naval War College Museum; **do not** attempt to use the museum visitor pass to access other areas on base other than the museum.

# **SECNAV Form 5512**

Please begin by filling out Blocks 1 - 24.

In **Block 15** put your full Social Security Number (SSN). If your SSN is not provided, the background check cannot be performed. No other ID numbers are required besides the SSN.

After completing Blocks 1-24, please use the following information to complete Blocks 25-28:

#### Block 25

Sponsor Name: Chauncy Gray

Sponsor Email: <a href="museum@usnwc.edu">museum@usnwc.edu</a> Sponsor Phone: (401) 841-4052

#### Block 26 & Block 27

Specific information is required for contractors but general visitors must also fill out this portion correctly (Retirees and Unemployed are required to identify as such); missing information will result in rejection of the form.

#### Block 28

Start Date - Desired visit date (e.g., 01 JAN 22)

End Date - Desired visit date + 179 days (01 JAN 22 + 179 days = 29 JUN 22; this keeps the SECNAV Form 5512 Active for 179 days for the Pass & ID Office - the duration period for cleared visitors (Use a calendar calculator when planning to make subsequent visits within the 179-day duration).

\*Note\* Completing this step keeps the SECNAV form active as mentioned but each visitor is required to go to Pass & ID to receive a new museum visitor day pass for reach subsequent visit within the approved 179-day period.

Work hours: Other; 1000 - 1600

Work Days: Pick the week day of the visit (as given to your sponsor)

Destination: Naval War College Museum

Reason for visit: Visit the Naval War College Museum

# **Completion & Submission of SECNAV Form 5512**

After completing Block 1 - Block 28, print out the form (if everything was done on the computer prior to), fill out Blocks 29 - 31 and initial in handwritten ink (the Pass & ID Office does not accept forms that are not initialed in handwritten ink). Read the information in Block 32 and sign in handwritten ink (the Pass & ID office does not accept forms without signatures in handwritten ink).

After all blocks are filled in, scan the form, create a password protection (steps are below) and send completed forms to museum@usnwc.edu.

# **Creating Password Protections**

Due to sharing sensitive information, the following steps can be taken to password-protect everyone's SECNAV 5512 when sending over to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a>:

#### Adobe Acrobat 2020

- 1. Click on "File"
- 2. Click on "Properties"
- 3. Click on "Security" (2nd Tab)
- 4. Go to "Security Method", go to drop-down menu and select password security
- 5. Under "Document Open", click on 'Require a password to open the document'
- 6. Enter Password
- 7. A new prompt will come up asking to confirm the password, re-type password
- 8. You will see another prompt showing "Security settings will not be applied to the document until you save the document. You will be able to continue to change the security settings until you close the document" Click "Ok"
- 9. Click "Ok" again
- 10. Save the document before closing so (otherwise, the security settings are not saved)
- 11. Send the password-protected form to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a>
- 12. Call (401) 841-4052 and/or leave a voice message with the password or send the password in a separate email to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a>

If unable to send the SECNAV form as a password-protected pdf, below are instructions on how to embed a file as password-protected using Microsoft Word:

# Microsoft Word

- 1. Open Word, click on the "Insert" tab at the top of the page
- 2. Click "Object" on the right-hand side (no need to click on dropdown arrow but if done, select "Object" again)
- 3. Select Tab "Create From File"
- 4. Select "Browse" and add the completed SECNAV 5512
- 5. Click "Display As Icon" which will insert the form into the word document
- 6. Go to "File"
- 7. Select "Info" (If not already highlighted by default)
- 8. Click on "Protect Document" on the right-hand side
- 9. Click on "Encrypt with Password"
- 10. Type in password and select "Ok"

- 11. Type in password again to confirm and select "Ok"
- 12. Click on the "Save" icon
- 13. Save the document (otherwise, the password-protection setting is not saved)
- 14. Send the password-protected document to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a>
- 15. Call (401) 841-4052 and/or leave a voice message with the password or send the password in a separate email to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a>

#### Forms of Identification

All visitors (the age of 18 and over), at the minimum, are required to provide state ID/driver's license. If driver's license has the verbiage "Not For Federal Identification" (not a REAL ID), present another form of ID such as a valid passport or concealed carry permit; present a form of identification issued by the state or federal government that has a photo and biographic information. Visitors under the age of 18 are not required to provide ID to the Pass & ID Office or to the gate guards as long as visitors are with their parent(s) or guardian(s) (who are vetted and cleared).

If intending to drive on base, bring the following to present to the Pass & ID Office:

- 1. Vehicle registration
- 2. Proof of insurance
- 3. Driver's license

For visitors intending to drive onto base with a rental vehicle:

- 1. Rental vehicle drivers must be ready to present the rental agreement to both the Pass & ID Office and the gate guards
- 2. The rental agreement must list the individual seeking access as the primary vehicle driver or the agreement should be in the individual's name

# **Picking up Museum Visitor Passes**

All cleared visitors must pick up issued museum visitor passes at the Pass and ID office (299 Cloyne Ct, Newport, RI 02840) before 2:30 pm on the day of your visit (Please arrive by 1:30pm; there may be a line ahead upon arrival and the office stops issuing passes after 2:30pm). No visitor will be admitted at the gate without a pass. Once visitors obtain the issued pass(es), you may proceed to the museum through Gate 1 (see base map attached).

If there are any questions, please send questions to <a href="mailto:museum@usnwc.edu">museum@usnwc.edu</a> or call (401) 841-4052.

# DEPARTMENT OF THE NAVY LOCAL POPULATION ID CARD/BASE ACCESS PASS REGISTRATION

#### PRIVACY ACT STATEMENT:

AUTHORITY: 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 5041, Headquarters, Marine Corps; OPNAVINST 5530.14E, Navy Physical Security; Marine Corps Order 5530.14A, Marine Corps Physical Security Program Manual; and E.O. 9397 (SSN), as amended, SORN NM05512-2.

PURPOSE(S): To control physical access to Department of Defense (DoD), Department of the Navy (DON) or U.S. Marine Corps Installations/Units controlled information, installations, facilities, or areas over which DoD, DON, or U.S. Marine Corps has security responsibilities by identifying or verifying an individual through the use of biometric databases and associated data processing/information services for designated populations for purposes of protecting U.S./Coalition/allied government/national security areas of responsibility and information; to issue badges, replace lost badges, and retrieve passes upon separation; to maintain visitor statistics; collect information to adjudicate access to facility; and track the entry/exit times of

ROUTINE USE(S): To designated of DISCLOSURE: Providing registration facilities and buildings.								installations,			
IDENTITY PROOFING AND APPLICANT INFORMATION											
1. LAST NAME:	2. FIRS	ST NAME:	3. MIDDLE NAM	3. MIDDLE NAME:) (4. N			1 1	l III	IV		
5. HISPANIC OR LATINO (Check one):		ACE ck one or more): WH	AFRICAN AMER OR BLACK	ICAN A	CIVVI	ERICAN INDIA ASKIN NATIVE	N OR	NATIVE HAWAIIAN OR OTHER PACII ISLANDER			
7. GENDER (Check one): MAL	MALE FEMALE				). STATE OF	BIRTH:	11. BIRT	11. BIRTH COUNTRY:			
12. US CITIZEN (Check): YES NO  CITIZENSHIP: YES NO CITIZENSHIP IF OTHER THAN US (Country):											
U.S. Citizen Minimum Documentation Required:  By Birth - Social Security Number, State ID/Drivers License.  Naturalized - Certification Number, Petition Number, Date, Place and Court, United States passport number, Social Security Number, State ID/Drivers License.  Derived - Parent's certification number, Social Security Number, State ID/Drivers License.  Alien Minimum Documentation Required: Registration Number, Expiration date, Date of entry, Port of entry.  Please note that, as applicable, you cannot be mandated to provide Privacy Act information (SSN and DOB) however, without this											
information vetting cannot											
14. IDENTITY SOURCE DOCUMENTS PRESENTED	): 15. DOC	UMENT NUMBER:	16. ISSUED BY STATE/COURT:	17. ISSUED BY COUNTRY:		18. ISSU	ED:	19. EXPIRES	3:		
Social Security No.				Unite	d States						
State ID/Drivers License	Э			Unite	d States						
Passport No.											
Certification Number and Petition Number											
Derived - Parent's Certification Number:				Unite	d States						
Alien Registration No.				Unite	d States						
	•	Date of Entry: Port			Port of En	of Entry:					
OTHER APPROVED IDENT	TITY SOURCE	DOCUMENTS:									
20. WEIGHT 21. HEIGHT	22. HAIR C	COLOR (Check one):	•	23.	EYE COLO	R (Check o	ne):				
(Pounds): (Inches):	Blond	Brown BI	ack Gray	Red	Brown	Green	Blue	Hazel			
	White		uburn Bald		Black	Gray	Violet	Unkno	wn		
24. HOME ADDRESS (Include city, state, zip code):						e Area Code):					
25. BASE SPONSOR'S NAME: SPONSOR EMAIL:					(5	SPONSOR PHONE (Include Area Code):					
EMPLOYMENT ACTIVITY INFORMATON (For personal guests, employer informati					information	ion is not required)					
26. EMPLOYER NAME AND ADDRESS (Include city/state/zip code):						EMPLOYER PHONE (Include Area Code):					
27. SUPERVISOR NAME A	ND ADDRESS	(Include city/state/zip c	ode):		(5	SUPERVISO	R PHONE	(Include Area C	ode):		

28. Check the applicable box for WORK HOURS box or check the OTHER box and enter the work hours, then check the applicable for WORK DAY											
START DATE: EN WORK HOURS: 0600-1800 0800-1	I <mark>D DATE:</mark> 700 OTHER		DESTINATION: WORK DAYS:	SN M	Т	W	TH	F	ST		
PURPOSE OF VISIT:	700 OTTLER			···	•			·			
PRIOR FELONY CONVICTIONS											
29. Have you ever been convicted of a Felony	/? YES	NO	(initial) (MUST	be handwr	itten in	ink)					
	REQUIREMENT TO	RETURN LOC	AL POPULATION ID	CARD							
30. I understand that I am required to return my Local Population Identification Card to the Base Pass Office when it expires or if my employment is terminated for any reason(initial) (MUST be handwritten in ink)											
	REAL I	ID ACT 2005 C	OMPLIANCE								
31. I understand that if my driver's license, or state issued identification, has words to the effect "NOT FOR FEDERAL USE" printed on it; I will be required to provide an alternate form of identification. (initial) (MUST be handwritten in ink)											
AUTHORIZATION AND RELEASE AND CERTIFICATION											
32. I hereby authorize the DOD/DON and of state agencies, including but not limited to, t Homeland Security (DHS).		-	•	•		-					
I have been notified of DON right to perform minimal vetting and fitness determination as a condition of access to DON installation/facilities. I understand that I may request a record identifier; the source of the record and that I may obtain records from the State Law Enforcement Office as may be available to me under the law. I also understand that this information will be treated as privileged and confidential information.											
I release any individual, including records custodians, any component of the U.S. Government or the individual State Criminal History Repository supplying information, from all liability for damages that may result on account of compliance, or any attempts to comply with this authorization. This release is binding, now and in the future, on my heirs, assigns, associates, and personal representative(s) of any nature. Copies of this authorization that show my signature are as valid as the original release signed by me.											
FALSE STATEMENTS ARE PUNISHABLE BY LAW AND COULD RESULT IN FINES AND/OR IMPRISONMENT UP TO FIVE YEARS.											
BEFORE SIGNING THIS FORM, REVIEW IT CAREFULLY TO MAKE SURE YOU HAVE ANSWERED ALL QUESTIONS FULLY AND CORRECTLY.											
I DECLARE UNDER PENALTY OF PERJURY THAT THE STATEMENTS MADE BY ME ON THIS FORM ARE TRUE, COMPLETE AND CORRECT											
DATE SIGNAT	'URE			(MIIST be	hand	writto	n in i	nk)			
FINAL DETERMINATION ON YOUR ACCE DON controlled installations/facilities under	ESS: The Base Com			•				1			
BELOW COMPLETED B		PERSON CO	NDUCTING IDENTY	PROOFING an	d NCIC C	CHECK					
	. ENTERED IN C/S S		35. PASS ISSUE D			SS EXPIR	MOITAS	J DAT	·F·		
33. INI ONWATION VENITLED BT.	. LIVILICED IIV 6/3 3	TOTEM DT.	33. 1 /400 1000 2 2	ATE.	50. TAC	JO EXI III	VIIOI	<b>V</b> DAT			
37. NCIC CHECK PERFORMED BY:	38. RESULTS OF	NCIC CHECK	:	39. RESULTS	OF LOCA	AL RECO	RDS C	HECK	<b>(</b> :		
	NO RECORDS	NO RECORDS RECORD IDENTIFIER			NO RECORDS RECORD IDENTIFIER						
	RECORD NUMBE	:R:		RECORD NUMBER:							
Office of Under Secretary of Defense Directive-Type Memorandum (DTM) 09-012, "Interim Policy Guidance for DoD Physical Access Control," December 8, 2009. DTM 09-012 requires that DoD installation government representatives query the National Crime Information Center (NCIC) and Terrorist Screening Database to vet the claimed identity and to determine the fitness of non-federal government and non-DoD-issued card holders (i.e. visitors) who are requesting unescorted access to a DoD installation. The minimum criteria to determine the fitness of a visitor is: 1) not on a terrorist watch list; 2) not on an DoD installation debarment list; and 3) not on a FBI National Criminal Information Center (NCIC) felony wants and warrants list. Additionally, SECNAV Memo, Policy for Sex Offender Tracking and Assignment and Access Restrictions within the Department of the Navy, of 7 Oct 08 and OPNAVINST 1752.3 established the Navy's policy on sex offenders, requiring Region Commanders (REGCOMs) and Installation Commanding Officers (COs) to prohibit sex offender access to DoN facilities and Navy owned, leased or PPV housing. This form describes the authority and purpose to collect and share the required information; and identifies the applicant/visitor and sponsor; and authorizes the DoD to perform the minimum vetting and fitness determination criteria. A favorable response on the vetting and fitness determination is required to receive access to DOD-controlled installation/facilities.											

# Instruction for completing the Local Population Access Registration Form

INSTRUCTIONS: Please complete all information in black ink (printed) or by typing. By voluntarily providing your Personal Information, you agree to the following terms and restrictions:

RESTRICTIONS: Local Population Identification Card/Base Access Pass may only be used by person to whom they are issued and for the specific business/purpose issued. Applicants are reminded that soliciting (i.e., door-to-door sales) is prohibited on the base, and that such activity is grounds for cancellation of the Pass Additionally, such action may result in debarment from the base and legal action. The Base Commanding Officer has discretion over specifying the period of validity for any Local Population ID Cards/Base Access Passes that are issued under his/her jurisdiction. Review the Privacy At Statement that is printed at the top of the form

- Enter the Last Name.
- Block 2: Enter the First Name.
- Block 3: Enter the Middle Name.
- Block 4<sup>-</sup> If applicable, check the box for Name Suffix.
- Block 5: Check the applicable box for Hispanic or Latino.
- Check the applicable box for Race. Block 6:
- Block 7: Check the applicable box for Gender. Block 8: Enter Date of Birth.
- Block 9: Enter City of Birth.
- Block 10: Enter State of Birth.
- Block 11: Enter Country of Birth.
- Block 12: Check the applicable box for US Citizenship.
- Block 13: If not a US Citizen, enter the name of the Country of Citizenship.
- Block 14: Two forms of identity source documents from the list of acceptable documents listed below must be presented to the base registrar with this completed form. Check the box for the type of Documents that will be presented for identity proofing. If the document type is not listed, use the two rows under Other Approved Identity Source Documents to enter the type of document(s) that you will present.
- Block 15: Enter the Document Number located on the Identity Proofing Source document that was checked in Block 14.

OR

- Block 16: Enter the State that issued the Identity Source Document.
- Block 17: Enter the Country that issued the Identity Source Document.

- Block 18: Enter the Date that the Identity Source Document was issued.
- Block 19: Enter the Date that the Identity Source Document will expire.
- Block 20: Enter Weight in pounds.
- Block 21: Enter Height in inches.
- Block 22: Check the applicable box for Hair Color.
- Block 23: Check the applicable box for Eye Color.
- Block 24: Enter Home Address Including City, State, Zip Code, and Home Telephone Number.
- Block 25: Enter Name of Registrant's Base Sponsor and Base Sponsor's Telephone Number.
- Block 26: Enter Employer Name and address including City, State, Zip Code, and Employer's Telephone Number.
- Block 27: Enter Supervisor's Name including City, State, Zip Code, and Supervisor's Telephone Number.
- Block 28: Check the applicable box for Work Hours box or check the OTHER box and enter the work hours, then check applicable boxes for Work Days. Block 28: Check the applicable answer if you have been convicted of Felony and enter initials.
- Block 29: Check the applicable box for felony conviction.
- Block 30: Enter initials to accept terms for returning Local Population Identification
- Block 31: Enter initials to acknowledge applicable Real ID ACT 2005 provisions
- Block 32: Sign and date the form to attest that the foregoing information is true and complete to best of your knowledge.

# ${\it LIST\,OF\,ACCEPTABLE\,DOCUMENTS\,-\,All\,documents\,must\,not\,be\,expired.}$

Must present one selection from List A or a combination of one selection from List B and one selection from List C.

List A - Documents that Establish Identity and **Employment Authorization** 

List B - Documents that Establish Identity

AND

List C - Documents that Establish **Employment Authorization** 

- 1. U.S. Passport or U.S. Passport Card.
- Permanent Resident Card or Alien Registration Receipt Card (Form I-551).
- 3. Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine-readable immigrant visa.
- 4. Employment Authorization Document that contains a photograph (Form I-766).
- 5. For a nonimmigrant alien authorized to work for a specific employer because of his or her status: a. Foreign Passport; and

  - b. Form I-94 or Form I-94A that has the following:
    - (1) The same name as the passport; and
    - (2) An endorsement of the alien's nonimmigrant status as long as that period of endorsement has not yet expired and the proposed employment is not in conflict with and restrictions or limitations identified on form.
- 6. Passport from the Federal States of Micronesia (FSM) or the Republic of the Marshal Islands (RM) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United Stated and FSM or RM.

- 1. Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address
- 2. ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address.
- School ID card with a photograph
- Voter's registration card.
- U.S. Military card or draft record.
- Military dependent's ID card. U.S. Coast Guard Merchant Mariner Card.
- 8. Native American tribal document.
- Driver's license issued by a Canadian government authority.

For persons under age 18 who are unable to present a document listed above:

- 10. School record or report card.
- 11. Clinic, doctor, or hospital record.
- 12 Day-care or nursery school record.

- 1. A Social Security Account Number card, unless the card includes one of the following restrictions:
  - (1) NOT VALID FOR EMPLOYMEMT
  - (2) VALID FOR WORK ONY WITH INS AUTHORIZATION.
  - (3) VALID FOR WORK ONLY WITH DHS AUTHORIZATION.
- 2. Certification of Birth Abroad issued by the Department of State (Form FS-545).
- 3. Certification of Birth issued by the Department of State (Form DS-1360).
- Original or certified copy of birth certificate issued by a State, county, municipal authority or territory of the United States bearing an official seal.
- 5. Native American tribal document.
- 6. U.S. Citizen ID Card (Form I-197).
- Identification Card for Use of Resident Citizen in the United States (Form I-179).
- Employment authorization document issued by the Department of Homeland Security.

The remainder of the form will be completed by the Base Registrar Person conducting Identify Proofing process and NCIC check.

#### AGENCY DISCLOSURE STATEMENT:

The public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Washington Headquarters Services, Executive Services Directorate, Information Management Division, 4800 Mark Center Drive, East Tower, Suite 02G09, Alexandria, VA 22350-3100 OMB 0703-0061. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

#### PLEASE DO NOT RETURN COMPLETED FORM TO THE ABOVE ADDRESS.

Completed form should be submitted to the Base Registrar.

SECNAV 5512/1 (APR 2014) NSNPT (JUL 2019)

#### Gate 1:

From the rotary follow Admiral Kalbfus Road. At 3rd St. traffic light Admiral Kalbfus becomes Training Station Road. Make a right at blue "Pass Office" sign. Proceed to white building at the end of the lane. Check in for parking pass. Make right to proceed across causeway onto Coasters Harbor Island/Naval Station Newport. After stopping at guard station (GATE 1) make a left at stop sign onto Perry Road. Follow Perry Road, bearing right onto Luce Ave. Continue until you see the museum on the right. Parking is on the west side of the building.

Call 401-841-4052/2101 to make a reservation.

Visitors without DOD sticker need to check in at the Pass Office before visiting.

Please bring photo identification, vehicle registration and proof of insurance to Pass Office.

